

Privacy Policy

The Wealth Advisory Team, LLC

DBA The Prosperity People

To Our Individual Clients:

October 31, 2019

Here is our privacy pledge to you:

1. **Your privacy is a top priority.**
2. **We collect information in order to service and administer your customer relationship.**
3. **We will work together with you to protect the security of your information, and to keep your information accurate.**

Your privacy is important to us, and maintaining your trust and confidence is one of our highest priorities. We respect your right to keep your personal information confidential and understand your desire to avoid unwanted solicitations. We hope that by taking a few moments to read it, you will have a better understanding of what we do with the information you provide us and how we keep it private and secure.

1. WE COLLECT INFORMATION IN ORDER TO SERVICE AND ADMINISTER YOUR CUSTOMER RELATIONSHIP.

We collect certain personal information about you—but only when that information is provided by you or is obtained by us with your authorization. We collect a variety of nonpublic personal information, which may include but is not limited to:

- Information we receive from you, such as your name, address, social security number, assets, income, letters or e-mails.
- Information we receive on tax returns, financial planning organizers, or financial history questionnaires.
- Information we receive from affiliates and other third parties.

2. PARTIES TO WHOM WE DISCLOSE INFORMATION.

As a general rule, we do **not** disclose personal information about our clients or former clients to anyone outside of The Prosperity People or MACKEY™ CPAs. Inefforts to best serve our clients The Prosperity People and MACKEY™ CPAs willshare information with each entity as deemed necessary. However, to theextent permitted by law and any applicable state Code of Professional

Conduct, certain nonpublic information about you may be disclosed in the following situations:

- To comply with a validly issued and enforceable subpoena or summons.
- In the course of a review of our firm's practices under the authorization of a state or national licensing board, or as necessary to properly respond to an inquiry or complaint from such a licensing board or organization.
- In conjunction with a prospective purchase, sale, or merger of all or part of our practice, provided we take appropriate precautions (for example, through a written confidentiality agreement) so the prospective purchaser or merger partner does not disclose information obtained in the course of the review.
- As part of any actual or threatened legal proceedings or alternative dispute resolution proceedings either initiated by or against us, provided we disclose only the information necessary to file, pursue, or defend against the lawsuit and take reasonable precautions to ensure that the information disclosed does not become a matter of public record.
- To provide information to affiliates of the firm and nonaffiliated third parties who perform services or functions for us in conjunction with our services to you, but only if we have a contractual agreement with the other party that prohibits them from disclosing or using the information other than for the purposes for which it was disclosed. (An example of such disclosure includes engaging a records-retention agency to store prior year records.)

3. CONFIDENTIALITY AND SECURITY OF NONPUBLIC PERSONAL INFORMATION.

Except as otherwise described in this notice, we restrict access to nonpublic personal information about you to employees of our firm and other parties who must use that information to provide services to you. Their right to further disclose and use the information is limited by the policies of our firm, applicable law, our Code of Professional Conduct, and nondisclosure agreements where appropriate. We also maintain physical, electronic, and procedural safeguards in compliance with applicable laws and regulations to guard your personal information from unauthorized access, alteration, or premature destruction.

Thank you for allowing us to service and administer your customer relationship. We value your business and are committed to protecting your privacy. We hope you view our firm as your most trusted adviser and we will work to continue earning your trust. Please call us if you have any questions or if we can be of further service.

Very truly yours,

The Prosperity People